



7. In the case of a company, the name and address of two of its officers who are authorised agents in Anguilla to accept on behalf of the applicant service of process and any notices required to be served on it:
  
8. In the case of a company, evidence of proper incorporation of any corporate shareholder of the applicant in its home country, if applicable:
  
9. Evidence in writing that the applicant is fit and proper to carry out company management business:
  
10. In the case of a company, evidence in writing regarding the provision of fully paid-up capital, as required by the Act.
  
11. Statement of assets and liabilities at the end of the month prior to submission of the application or in the case of a company annual audited accounts of the applicant or of the corporate shareholders of the applicant, if any, for the preceeding 2 years.
  
12. Names of all subsidiary companies of the applicant with addresses of their registered offices:
  
13. In the case of a foreign company, a copy of the act, charter, certificate of incorporation or memorandum and articles of association or bye-laws or partnership agreement of the applicant, as may be appropriate, verified by an affidavit sworn by a director or partner, together with a certificate of good standing:
  
14. Details of the applicant's main bankers:

15. Statement of the capital of any other company held, directly or through a subsidiary, as an asset of the applicant.
  
16. Business plan covering the following:
  - a) Background and experience of the applicant and of the applicant's shareholders if applicable;
  - b) Overview of the proposed business activities, including proposed volumes of business;
  - c) Administration of the business, including proposed staffing levels, due diligence and know your customer controls;
  - d) Reasons for choosing Anguilla to set up the business.
  
17. Please attach the prescribed application fee (US\$500):
  
18. Addendum A to this Application is to be completed by each shareholder, beneficial shareholder, director and comptroller, if not a director, and such other person(s) as Financial Services may require to be filed with this application:

#### **ADDENDUM A**

##### **INFORMATION IN SUPPORT OF AN APPLICATION FOR A A COMPANY MANAGEMENT LICENCE IN ANGUILLA**

Details required from each shareholder, beneficial shareholder or director of an applicant company, and comptroller if not a director, and such other person(s) as Financial Services may require.

1. Full name
  
2. Former name (if different from name given above).
  
3. Date and place of birth
  
4. Nationality (if naturalised attach a copy of the naturalisation certificate, and state former nationality)
  
5. Full home address at present time:

6. List home addresses in full for previous five years where different from that given above, and give the related dates:
  
7. Marital status. If married give full name of spouse including maiden name of wife.
  
8. Passport particulars (enclose relevant pages).
  
9. Give full details of your profession or occupation over the past ten years; include the names and addresses of all employers and the nature of your employment give all relevant dates and state briefly the reasons for changes:
  
  
  
  
  
  
  
  
  
  
10. Give a list of all companies in which you have held or hold an interest of 25% or more during the past ten years. Give brief particulars of the nature of the business of all such companies:
  
  
  
  
  
  
  
  
  
  
11. Give details of academic and professional qualifications and date(s) obtained:
  
  
12. Have you ever been employed in, or had an interest in
  - (a) a financial services activity or
  - (b) a gambling activity in any country? (State YES or NO).
  
  
13. In relation to the proposed company management operation are you acting wholly or partly as a nominee or agent for, or trustee of another person, persons or organisations? (State YES or NO):  
  
If YES, give the name and address of the person, or persons, or organisations and the precise nature of your relationship and/or the arrangement:
  
  
  
  
  
  
  
  
  
  
14. Give the full details of your financial interest in the proposed company management operation, and the percentage ownership that this will represent:
  
  
  
  
  
  
  
  
  
  
15. Have you or your spouse ever been declared bankrupt or been the subject of a bankruptcy petition, or ever received a judgement against you in a civil court? (State YES or NO):

If YES give full details:

16. Has any company or firm of which you or your spouse was a partner, director or comptroller been the subject of a winding up petition? (State YES or NO):

17. Have you ever applied for a financial services licence in any other jurisdiction? (State YES or NO).

If YES state type of licence, name of company, location and period held and where granted:

18. Have you ever been refused a financial services licence or had an interest in any group which has been refused a licence or related finding of suitability? State YES or NO):

If YES to either of the above, state where, when and for what reason:

19. Have you ever appeared before any licensing agency or similar authority for any reason whatsoever? For example, as a witness. (State YES or NO):

If YES provide details:

20. Have you as an individual, member of a partnership, or owner, director or officer of a corporation, ever been a party to a lawsuit as either a plaintiff, or defendant as a result of misconduct? (State YES or NO):

If YES give details below: (List all cases without exception, including bankruptcies):

Plaintiff/Defendant:

Court and Case Number:

Location:

Result:

21. (i) Have you ever been convicted of any criminal offence in any country (excluding MINOR traffic offences); OR

(ii) Have you ever been arrested, detained, charged, indicted or summoned to answer for any criminal offence of which the result is still pending:

If you have answered YES to either (i) or (ii) please give details. (List all cases without exception):

Date of Arrest or Detention

Age

Charge

Location

Result

22. Will you participate actively in the management or operation of the proposed company management operation? (State YES or NO):

If YES give full details:

23. Has your interest in the proposed company management operation been assigned, pledged or hypothecated to any person, persons, firms, partnerships or companies; or have you entered into any agreement, whereby your interest is to be assigned or pledged or sold either wholly or in part? (State YES or NO):
24. Have you made any arrangements for persons, firms or companies to advance money, or other equity, to you to assist in financing your investment in the proposed company management operations? (State YES or NO):
25. Do your assets exceed you liabilities, including contingent liabilities, and are they likely to remain so for the foreseeable future? (State YES or NO):
26. Explain how you intend to finance your investment in the proposed company management operation, if applicable:
27. Give below any further information relating to your finances which you wish to be taken into account:

28. Give the names, addresses and telephone numbers of three referees. Referees should not be relatives or business associates. They should be told that the Government of Anguilla might wish to contact them.

Declaration

I certify that to the best of my knowledge and belief the information given in this form is complete and correct:

Date:

Signed:

AUTHORISATION TO SEEK ADDITIONAL INFORMATION

I/We hereby authorise you to contact all relevant authorities and authorise said authorities to provide you with whatever information you may request.

(Signed)